

SEC Enforces Identity Theft Red Flags Rule for the First Time: What it Means for Texas Businesses

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The Texas Lawbook has published an article by Toby Galloway and Justin Freeman, "SEC Enforces Identify Theft Red Flags Rule for the First Time: What it Means for Texas Businesses." The article examines the Securities and Exchange Commission's (SEC) recently settled case involving a dually registered broker-dealer and investment adviser for violations of cybersecurity provisions of the...