

SEC Enforces Identity Theft Red Flags Rule for the First Time: What it Means for Texas Businesses

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The *Texas Lawbook* has published an article by Shareholder [Toby Galloway](#) and Of Counsel [Justin Freeman](#), "SEC Enforces Identify Theft Red Flags Rule for the First Time: What it Means for Texas Businesses." The article examines the Securities and Exchange Commission's (SEC) recently settled case involving a dually registered broker-dealer and investment adviser for violations of cybersecurity provisions of the federal securities laws: the Safeguards Rule and the Identity Theft Red Flags Rule. The landmark action has clear application not only to the securities industry but for all businesses, even those not in the financial sector.

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