

# Toby M. Galloway

Shareholder Co-Chair, Securities Litigation & Enforcement

**Practices:** Securities Litigation & Enforcement; Business Litigation; White-Collar Defense

Toby Galloway is Co-Chair of Winstead's Securities Litigation & Enforcement Practice Group. He also practices in the areas of white-collar defense, governmental & internal investigations, and business litigation. Before developing his private practice, he served as an attorney with the United States Securities and Exchange Commission, in roles of increasing responsibility, for more than 11 years.

During his last four years at the SEC, Toby was the chief trial counsel for the Commission's Fort Worth Regional Office. In this capacity, he supervised all litigation for a four-station region. In addition, he handled his own caseload, prosecuting civil enforcement actions involving alleged violations of the federal securities laws. He also served as a Special Assistant United States Attorney for the Northern District of Texas, prosecuting white-collar crime.

Toby routinely practices before the SEC, CFTC, FINRA, DOJ, Texas State Securities Board, and other state securities regulators, as well as the PCAOB and other regulatory and law enforcement agencies. Toby represents public companies, audit committees and special committees, hedge funds, private equity funds, asset managers, broker-dealers, registered investment advisers, accountants and lawyers, and other institutions in government investigations, securities law enforcement and litigation. He also represents aggrieved investors, and handles complex business litigation and has experience in healthcare fraud.

## Professional & Community Service

- Texas Bar Foundation, Fellow
- Frequent speaker on securities enforcement, accounting fraud, and related topics
- Pro Bono College of the State Bar of Texas, Member, 1996-1997
- College of the State Bar of Texas, Member, 2003
- Completed Department of Justice's National Advocacy Center Criminal Trial Advocacy Course, 2007
- American Bar Association
- Tarrant County Bar Association
- Dallas Bar Association
- State Bar of Texas

## Awards & Recognition

- SEC's Excellence in Information Technology Award, 2013
- SEC Enforcement Division Director's Award, 2007-2008 and 2008-2009
- United States Attorney's Award, District of Connecticut, 2010
- Tarrant County's Top Attorneys, *Fort Worth, Texas*, 2014-2018
- Top Attorney, *360 West Magazine*, 2017-2018



### Fort Worth Office

817.420.8262 Direct  
817.420.8201 Fax  
tgalloway@winstead.com

### Education

University of Texas School of Law

- J.D., 1994
- *with honors*

University of Texas

- B.B.A., Finance, 1991
- *with high honors*

- Texas Super Lawyers, Thomson Reuters, 2019

## Admissions

- Texas, 1994
- U.S. District Courts, Northern, Southern, Eastern and Western Texas
- U.S. Court of Appeals, Fifth Circuit