

White-Collar Defense

Led by a former SEC Regional Trial Counsel, Winstead's white-collar defense attorneys routinely represent clients under federal investigation. When responding to and defending our clients in these critical cases, we leverage every resource, including our in-depth experience on both sides of enforcement proceedings and Federal and State Administrative actions.

Federal Criminal and Enforcement Proceedings:

As part of our white-collar defense practice, we have represented clients in various investigations, including:

- Accounting fraud
- Securities fraud
- Healthcare fraud
- Ponzi schemes
- Oil-and-gas offering frauds
- Broker-dealer and investment-adviser enforcement
- Public corruption
- Violations of the Foreign Corrupt Practices Act

Federal and State Administrative Actions:

Winstead defends broker dealers, investment advisors, officers and directors of regulated entities, corporations, and healthcare providers in investigations. We regularly represent our clients before:

- The Department of Justice (DOJ)
- The Securities Exchange Commission (SEC)
- The Texas State Securities Board (TSSB)
- The Financial Industry and Regulatory Association (FINRA)

Winstead's white-collar crime attorneys have considerable strength in securities enforcement, as well as relationships with prosecutors and regulators and significant trial experience in securities matters.